2009 JUN - 1 PM 2: 19

HAWAII LABOR

RELATIONS BOARD

MARK J. BENNETT 2672 Attorney General of Hawaii

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Attorneys for Complainant DARWIN L.D. CHING, Director of Labor and Industrial Relations

STATE OF HAWAII

HAWAII LABOR RELATIONS BOARD

In the Matter of DIRECTOR, DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS, Complainant,) CASE NO. OSH 2009-5) (Inspection No. 311433718))) STIPULATION AND SETTLEMENT) AGREEMENT; EXHIBIT A; APPROVAL) AND ORDER
vs.)
AU CONSTRUCTION, INC.,))
Respondent.)))

STIPULATION AND SETTLEMENT AGREEMENT

Complainant DARWIN L.D. CHING, Director of Labor and Industrial Relations

("Director") and Respondent AU CONSTRUCTION, INC. ("Respondent") having reached a full
and complete settlement of the above-captioned contested case presently pending before the

Hawaii Labor Relations Board ("Board") stipulate and agree as follows:

On or about October 13, 2008, the Director, by and through the State of Hawaii's Occupational Safety and Health Division ("HIOSH"), inspected Respondent's workplace located

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at 312 Iliahi Street, Hilo, Hawaii 96720.

As a consequence of said inspection, the Director issued a Citation and Notification of Penalty on January 9, 2009 ("Citation") to Respondent alleging violations of the Hawaii Occupational Safety and Health Standards and assessed an aggregate penalty of \$3,000.00. See Exhibit A.

Respondent contested the Citation.

THEREFORE, to avoid the expense of litigation and to compromise and settle the above-captioned case, the parties, by and through their respective representatives, stipulate and agree as follows:

- 1. The Board allegedly has jurisdiction over this contested case pursuant to section 396-11, Hawaii Revised Statutes ("HRS").
- 2. At all relevant times, Respondent maintained a workplace at 312 Iliahi Street, Hilo, Hawaii 96720.
- At all relevant times, Respondent was an employer, as defined in HRS § 396-3, and employed employees, as defined in HRS § 396-3, and was therefore subject to the requirements of HRS chapter 396, the Hawaii Occupational Safety and Health Law.
- 4. Respondent agrees that it has or will abate each violation listed in the Citation in compliance with the abatement requirements under Hawaii Administrative Rule § 12-51-22. Respondent shall submit the completed abatement certification to HIOSH within thirty (30) days of the execution of this agreement; failure to timely do so may result in additional penalties or follow up inspections.
- 5. The violations and corresponding characterizations as stated in the Citation are affirmed. See Exhibit A.

- 6. The Director reduces the aggregate penalty from \$3,000.00 to \$2,463.75.

 As part of this Agreement, Respondent shall purchase a fall protection banner as described in paragraph 9 herein which costs approximately \$300.00. Thus, Respondent shall pay the amount of \$2,163.75 in full to the Director of Budget and Finance upon the execution of this Agreement.
- 7. Respondent shall have all its employees who are required to use fall protection, view the Fall Protection Training video on HIOSH's website at www.hawaii.gov/labor/hiosh/fall_video.shtml, or borrow a copy from the HIOSH library. Respondent shall submit within thirty (30) days of the execution of this agreement a letter confirming that this requirement was met and the names of the employees who have viewed the video.
- Relations' fall protection safety initiative by purchasing an approved eight-feet by four-feet banner, and prominently posting it at its baseyard or a worksite for four (4) consecutive months after submittal of the proof of purchase of the banner. The banner may be purchased from any printer of Respondent's choice. Respondent shall coordinate with the Director in obtaining the approved banner design. Within thirty (30) days of this Agreement, Respondent shall provide the Director with proof of the purchase of the banner. After posting the banner for the specified period, Respondent shall certify to the Director that it has complied with its agreement to post.
- 9. Respondent shall create and implement written policies for fall protection and use; communicate the policies to its employees and train them on the policies; implement steps to detect and correct violations of the policies; enforce the policies; and provide HIOSH with a copy of the written policies within thirty (30) days of the execution of this Agreement.
 - 10. In order to help improve Respondent's occupational safety management

system, Respondent agrees to request consultation safety services from HIOSH's Consultation and Training (C&T) Branch by completing the attached form within thirty (30) days from the execution of this Agreement.

- 11. By entering into this Agreement, Respondent does not admit that it violated the cited standards for any litigation or purpose other than proceedings under the Hawaii Occupational Safety and Health Law.
- 12. If Respondent fails to fulfill any condition of this Agreement within the time stated, then, Respondent shall automatically and without further notice be liable for the entire original penalty in the amount of \$3,000.00. All amounts shall be immediately due and owing. If Respondent fails to pay all penalties and the Director institutes legal action to collect on the outstanding amount due, Respondent agrees to pay all fees and costs, including reasonable attorney's fees, incurred to collect on the unpaid penalties. Any forbearance by the Director in exercising any right or remedy shall not be a waiver of or preclude the exercise of any right or remedy.
- 13. Except for the stipulated amendments described above, the Citation is confirmed in all other respects and upon approval by the Board, this Agreement and the Citation, as amended, shall become a final order of the Director.
- 14. Respondent shall post a copy of this Agreement and Order in a prominent place at or near the location of the posting of the Citation, and the Agreement and Order shall remain posted for three (3) working days (excluding weekends and State holidays).
- 15. Respondent shall continue to comply with HRS chapter 396, the Hawaii Occupational Safety and Health Law, and the related rules.
 - 16. Nothing in this Agreement shall bar the Director from taking any action

regarding future acts or practices by Respondent which may be alleged to violate HRS chapter 396, the Hawaii Occupational Safety and Health Law, or the related rules.

17. Upon approval of this Agreement, all proceedings before the Board shall be dismissed.

DATED: Honolulu, Hawaii, 5/18/09

AU CONSTRUCTION, INC.

DEAN AU President

APPROVED AS TO FORM:

DIRECTOR OF LABOR AND INDUSTRIAL RELATIONS

ROBYNM. KUWABE
Deputy Attorney General
Attorney for Director of Labor and
Industrial Relations, State of Hawaii

ARWIN L.D. CHING

APPROVED AND SO ORDERED BY HAWAII LABOR RELATIONS BOARD:

DATED: June 1, 2009 ORDER NO. 321

JAMES B. NEHOUSON, Chair

EMORY J. SPRINGER, Member

SARAH R. HIRAKAMI, Member

HIOSH Division of Occupational Safety and Health



Request Form

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Strategic Initiatives			
National Emphasis			
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18A. Type 18B. ID 18	3. Optional Information		
19. Person Taking Request	,		
20A. Date Safety Withdrawn	20B. Reason Withdrawn	☐ A. Out of Business ☐ B. Process Inactive ☐ C. OSHA Enforcement	☐ F. Client Unresponsive ☐ G. Client Changed Mind ☐ H. Process Changed
		Involvement □ D. New Management □ E. Excessive Wait/Backlog	☐ I. Seasonal Process ☐ J. Other
21A. Date Health Withdrawn	21B, Reason Withdrawn	□ A. Out of Business □ B. Process Inactive □ C. OSHA Enforcement Involvement □ D. New Management □ E. Excessive Wait/Backlog	☐ F. Client Unresponsive ☐ G. Client Changed Mind ☐ H. Process Changed ☐ I. Seasonal Process ☐ J. Other

Department of Labor and Industrial Relations HAWAII OCCUPATIONAL SAFETY AND HEALTH DIVISION 830 PUNCHBOWL STREET, ROOM 425 HONOLULU, HI 96813

Phone: (808)586-9110

FAX: (808)586-9104

Certified Number: 7006 3450 0003 7802 9233



Citation and Notification of Penalty

To:

Inspection Number:

311433718

(George

Smith)

Au Construction Inc.

and its successors

17-415 Ipuaiwaha St

Keaau, HI 96749

Inspection Date(s):

10/13/2008- 10/13/2008

Issuance Date:

01/09/2009

OSHCO ID:

E1353

Inspection Type:

Optional Report No.: 00309 Referral

Scope of Inspection:

Partial Inspection

Inspection Site:

312 Iliahi St

Hilo, HI 96720

The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

This Citation and Notification of Penalty describes violations of the Hawaii Occupational Safety and Health Law. The penalty(ies) listed herein is (are) based on these violations. You must abate the violations referred to in this Citation by the dates listed and pay the penalties, unless within 20 calendar days from your receipt of this Citation and Notification of Penalty, you mail a notice of contest to the State of Hawaii Occupational Safety and Health Division (HIOSH) at the address shown above. Please refer to the enclosed booklet (Employer Rights and Responsibilities Following a HIOSH Inspection) which outlines your rights and responsibilities and which should be read in conjunction with this form. Issuance of this Citation does not constitute a finding that a violation of the Law has occurred unless there is a failure to contest as provided for in the Law or, if contested, unless this Citation is affirmed by the Hawaii Labor Relations Board (HLRB) or a court.

Posting - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or, if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and State holidays), whichever is longer. The penalty dollar amounts need not be posted and may be marked out or covered up prior to posting.

EXHIBIT_

Informal Conference - An informal conference is not required. However, if you wish to have such a conference you may request one with the Administrator during the 20 calendar day contest period. During such an informal conference, you may present any evidence or views which you believe would support an adjustment to the citation(s) and/or penalty(ies).

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Administrator within 20 calendar days of your receipt of this Citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete, remove and post the page 5, Notice to Employees, next to this Citation and Notification of Penalty as soon as the time, date, and place of the informal conference have been determined. Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

Employers' Right to Contest - You have the right to contest this Citation and Notification of Penalty. You may contest all citation items or only individual items. You may also contest penalties and/or abatement dates without contesting the underlying violations. <u>Unless you inform the Administrator in writing that you intend to contest the citation(s) and/or penalty(ies) within 20 calendar days after receipt, the citation(s) and the penalty(ies) will become a final order of the Department of Labor and Industrial Relations and may not be reviewed by any court or agency. Once a letter of contest is received, it becomes the jurisdiction of the HLRB.</u>

Penalty Payment - Penalties are due within 20 calendar days of receipt of this notification unless contested. Make your check or money order payable to "Director of Budget and Finance." Please indicate the Inspection Number on the remittance.

HIOSH does not agree to any restrictions or conditions or endorsements put on any check or money order for less than the full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Notification of Corrective Action - For <u>each</u> violations which you do not contest, you are required by Section 12-51-22 to submit an Abatement Certification to HIOSH. The certification <u>must</u> be sent by you within <u>5 calendar days</u> of the abatement date indicated on the citation. For Willful and Repeat violations, documents (examples: photos, copies of receipts, training records, etc.) demonstrating that abatement is complete must accompany the certification. Where the citation is classified as Serious and the citation states that abatement documentation is required, documents such as those described above are required to be submitted along with the abatement certificate. If the citation indicates that the violation was corrected during the inspection, no abatement certification is required for that item.

All abatement verification documents must contain the following information: 1) Your name and address; 2) the inspection number (found on the front page); 3) the citation and citation item number(s) to which the submission relates; 4) a statement that the information is accurate; 5) the signature of the employer or employer's authorized representative; 6) the date the hazard was corrected; 7) a brief statement of how the hazard was corrected; and 8) a statement that affected employees and their representatives have been informed of the abatement.

The law also requires a copy of all abatement verification documents, required by Section 12-51-22 to be sent to HIOSH, also be posted at the location where the violation appeared and the corrective action took place.

Employer Discrimination Unlawful - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 60 days after the discrimination occurred with the Hawaii Occupational Safety and Health Division at the address shown above.

Employer Rights and Responsibilities - The enclosed booklet (Employer Rights and Responsibilities Following a HIOSH Inspection) outlines additional employer rights and responsibilities and should be read in conjunction with this notification.

Employees' Right to Contest - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the Hawaii Occupational Safety and Health Division at the address shown above and postmarked within 20 calendar days of the receipt by the employer of this Citation and Notification of Penalty.

Inspection Activity Data - You should be aware that OSHA publishes information on inspection and citation activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to your inspection will be available 30 calendar days after the Citation Issuance Date. You are encouraged to review the information concerning your establishment at WWW.OSHA.GOV. If you have any dispute with the accuracy of the information displayed, please contact this office.

ABATEMENT CERTIFICATION

DARWIN L.D. CHING, DIRECTOR
DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS
HAWAII OCCUPATIONAL SAFETY AND HEALTH DIVISION
830 PUNCHBOWL STREET, ROOM 425
HONOLULU, HI 96813

Au Construction Inc 17-415 Ipuaiwaha St Keaau, HI 96749

The hazard referenced Citation	in Inspection Numberand Item	was corrected	for the violation identified as	
How corrected:				<u> </u>
The hazard referenced Citation How corrected:	in Inspection Number and Item	_ was corrected	for the violation identified as on	_•
The hazard referenced Citation How corrected:	in Inspection Number and Item	_ was corrected	for the violation identified as on	<u>.</u> .
Citation	and Item	_ was corrected	for the violation identified as on	_•
Citation	and item	_ was corrected	for the violation identified as on	_•
I attest that the inform	ation contained in this do	cument is accur	rate and that the affected emperibed in this certification.	
Signature				
Typed or Printed Name				

Department of Labor and Industrial Relations Hawaii Occupational Safety and Health Division



NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal co	onference has been sch	eduled with HIOSH to	discuss the citation(s)	issued on
01/09/2009. Ti	he conference will be h	eld at the HIOSH office	located at 830 PUNG	CHBOWL
STREET, ROC	OM 425, HONOLULU	U, HI, 96813 on	at	· · · · · · · · · · · · · · · · · · ·
Employees and/	or representatives of en	nployees have a right to	attend an informal co	nference.
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Department of Labor and Industrial Relations Hawaii Occupational Safety And Health Division **Inspection Number: 311433718**

Inspection Dates: 10/13/2008 - 10/13/2008

Issuance Date: 01/09/2009



Citation and Notification of Penalty

Company Name:

Au Construction Inc

Inspection Site:

312 Iliahi St, Hilo, HI 96720

Citation 1 Item 1 Type of Violation: Serious

29 CFR 1926.404(b)(1)(ii) [Refer to chapter 12-141.1, HAR] was violated because:

Ground-fault circuit interrupter protection was not provided for personnel protection on extension cords and cord-connected electrical equipment supplied by the extension cords at the job site. The potential result of failure to provide ground-fault protection is serious injury from electric shock and/or burns.

29 CFR 1926.404(b)(1)(ii) states "All 120-volt, single-phase 15- and 20-ampere receptacle outlets on construction sites, which are not a part of the permanent wiring of the building or structure and which are in use by employees, shall have approved ground-fault circuit interrupters for personnel protection. Receptacles on a two-wire, single-phase portable or vehicle-mounted generator rated not more than 5kW, where the circuit conductors of the generator are insulated from the generator frame and all other grounded surfaces, need not be protected with ground-fault circuit interrupters."

Location: Job site, 312 Iliahi Street

Date By Which Violation Must be Abated:

01/20/2009

Penalty:

\$ 750.00

Department of Labor and Industrial Relations Hawaii Occupational Safety And Health Division **Inspection Number: 311433718**

Inspection Dates: 10/13/2008 - 10/13/2008

Issuance Date: 01/09/2009



Citation and Notification of Penalty

Company Name:

Au Construction Inc

Inspection Site:

312 Iliahi St, Hilo, HI 96720

Citation 1 Item 2 Type of Violation: Serious

29 CFR 1926.451(f)(7) [Refer to chapter 12-130.1, HAR] was violated because:

Steel and aluminum scaffolding at the job site was not erected under the supervision and direction of a competent person who was qualified for such activity, resulting in numerous deficiencies in the construction of the scaffolding. The potential result of failure to assure that scaffold erection is directed and supervised by a competent person could be serious injury such as fractures and/or internal injuries from falls due to defective installation.

29 CFR 1926.451(f)(7) states "Scaffolds shall be erected, moved, dismantled, or altered only under the supervision and direction of a competent person qualified in scaffold erection, moving, dismantling or alteration. Such activities shall be performed only by experienced and trained employees selected for such work by the competent person."

Location: Job site, 312 Iliahi Street

Date By Which Violation Must be Abated:

Penalty:

01/20/2009

750.00

Department of Labor and Industrial Relations Hawaii Occupational Safety And Health Division **Inspection Number: 311433718**

Inspection Dates: 10/13/2008 - 10/13/2008

Issuance Date: 01/09/2009



Citation and Notification of Penalty

Company Name:

Au Construction Inc

Inspection Site:

312 Iliahi St, Hilo, HI 96720

Citation 1 Item 3 Type of Violation: Serious

29 CFR 1926.503(b)(13) [Refer to chapter 12-121.2, HAR] was violated because:

Employees engaged in construction activities on a residential roof more than 10' above lower levels were not protected from falling to the lower level. The potential result of failure to provide such protection could be serious injury such as fractures and/or internal injuries from falling to the lower level.

29 CFR 1926.503(b)(13) states "Each employee engaged in residential construction activities 6 feet (1.8 m) or more above lower levels shall be protected by guardrail systems, safety net system, or personal fall arrest system unless another provision in paragraph (b) of this section provides for an alternative fall protection measure. Exception: When the employer can demonstrate that it is infeasible or creates a greater hazard to use these systems, the employer shall develop and implement a fall protection plan which meets the requirements of paragraph (k) of §1926.502."

"There is a presumption that it is feasible and will not create a greater hazard to implement at least one of the above-listed fall protection systems. Accordingly, the employer has the burden of establishing that it is appropriate to implement a fall protection plan which complies with §1926.502(k) for a particular workplace situation, in lieu of implementing any of those systems."

Location: Job site, 312 Iliahi Street

Date By Which Violation Must be Abated:

01/20/2009

Penalty:

\$ 750.00

Department of Labor and Industrial Relations Hawaii Occupational Safety And Health Division **Inspection Number: 311433718**

Inspection Dates: 10/13/2008 - 10/13/2008

Issuance Date: 01/09/2009



Citation and Notification of Penalty

Company Name:

Au Construction Inc

Inspection Site:

312 Iliahi St, Hilo, HI 96720

Citation 1 Item 4 Type of Violation: Serious

29 CFR 1926.1053(b)(1) [Refer to chapter 12-154.1, HAR] was violated because:

A portable ladder used to provide access to roof level for residential construction activities did not extend at least 3' above the landing surface (roof edge). The potential result of failure to provide the proper extension above the landing surface could be serious injury such as fractures and/or internal injuries from falling more than 10' to the lower level while mounting or dismounting the ladder.

29 CFR 1926.1053(b)(1) states "When portable ladders are used for access to an upper landing surface, the ladder side rails shall extend at least 3 feet (.9 m) above the upper landing surface to which the ladder is used to gain access; or, when such an extension is not possible because of the ladder's length, then the ladder shall be secured at its top to a rigid support that will not deflect, and a grasping device, such as a grabrail, shall be provided to assist employees in mounting and dismounting the ladder. In no case shall the extension be such that ladder deflection under a load would, by itself, cause the ladder to slip off its support."

Location: Job site, 312 Iliahi Street

Date By Which Violation Must be Abated:

Penalty:

01/20/2009

750.00

DARWIN L.D. CHING

Director

Department of Labor and Industrial Relations
HAWAII OCCUPATIONAL SAFETY AND HEALTH DIVISION
830 PUNCHBOWL STREET, ROOM 425
HONOLULU, HI 96813

SUMMARY OF PENALTIES

Company Name:

Au Construction Inc

Inspection Site:

312 Iliahi St, Hilo, HI 96720

Issuance Date:

01/09/2009

Summary of Penalties for Inspection Number 311433718

Citation 1, Serious

= \$ 3,000.00

TOTAL PENALTIES

= \$ 3,000.00

Make check or money order payable to the "Director of Budget and Finance." Please indicate the <u>inspection number</u> and dba, if company name is different, on the remittance. A fee of \$15.00 will be charged for any returned checks.

If receipt is desired, please indicate with payment; otherwise, <u>your cancelled check will be</u> your receipt.